

Form PTO-1449 (Modified)		Application No.	09/766,132
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Filing Date	January 19, 2001
		First Named Inventor	FUKUDA, Izumi
		Group Art Unit	2851
		Examiner Name	
		Sheet 1 of 2	Attorney Docket No.

U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED D PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
PN	AA		6,425,826	B1	Nakanishi et al.	07/30/2002	463	31	04/11/2000
	AB		6,421,056	B1	Nishiumi et al.	07/16/2002	345	474	08/19/1999
	AC		6,409,601	B2	Ogata et al.	06/25/2002	463	37	07/26/1999
	AD		6,402,616	B1	Ogata et al.	06/11/2002	463	37	07/26/1999
	AE		2002/0068628	A1	Takatsuka et al.	06/06/2002	463	37	05/28/1999
	AF		2002/0021298	A1	Fukuda	02/21/2002	345	427	01/19/2001
	AG		6,342,009	B1	Soma	01/29/2002	463	38	11/02/1999
	AH		6,332,840	B1	Nishiumi et al.	12/25/2001	463	38	01/06/1999
	AI		6,330,356	B1	Sundareswaran et al.	12/11/2001	382	154	09/29/1999
	AJ		2001/0020934	A1	Fukuda	09/13/2001	345	156	01/19/2001
	AK		6,325,717	B1	Kawagoe et al.	12/04/2001	463	33	11/17/1999
	AL		6,241,611	B1	Takeda et al.	06/05/2001	463	38	07/01/1999
	AM		6,231,444	B1	Goto et al.	05/15/2001	463	37	04/11/1997
	AN		6,097,394		Levoy et al.	08/01/2000	345	419	04/28/1997
	AO		6,072,504		Segen	06/06/2000	345	474	06/20/1997
	AP		6,040,841		Cohen et al.	03/21/2000	345	473	08/02/1996
	AQ		6,001,014		Ogata et al.	12/14/1999	463	37	09/30/1997
	AR		5,883,690		Meyers et al.	03/16/1999	345	161	05/30/1997
	AS		5,850,352		Moezzi et al.	12/15/1998	345	419	11/06/1995
PN	AT		5,820,462		Yokoi et al.	10/13/1998	463	37	07/31/1995

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		Group Art Unit	2851
		Examiner Name	
Sheet 2 of 2		Attorney Docket No.	72764 [SC159501]

FOREIGN PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION YES NO
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				
PN	AU		JP	11-342265		12/14/1999	—	—	X (Abstract only)
PN	AV		JP	6-190152		07/12/1994	—	—	X (Abstract only)
PL	AW		JP	5-32237		04/27/1993	—	—	X

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
PN	AX		JAPANESE PATENT OFFICE; Japanese "Notification of Reasons for Refusal"; dispatched September 10, 2002 including 2-page English translation of portions; pp. 1-4; Not published

Examiner Signature	P. Nguyen	Date Considered	12/28/04
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

OCT 20 2003

**SUPPLEMENTAL
INFORMATION DISCLOSURE
CITATION IN AN APPLICATION**

Sheet 1 of 1

Application No.	09/766,132
Filing Date	January 19, 2001
First Named Inventor	Fukuda, Izumi
Group Art. Unit	2851
Examiner Name	
Attorney Docket No.	72764 [SC159501]

U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
PN	AA		5,914,720		Maples et al.	06/22/1999	345	419	09/23/1996
PN	AB		5,812,142		Small et al.	09/22/1998	345	438	09/30/1994

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO

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Examiner Signature	<i>P. Nguyen</i>	Date Considered	12/28/04
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PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/766,132
Filing Date	January 19, 2001
First Named Inventor	Izumi Fukuda
Art Unit	2671
Examiner Name	Nguyen, Phu K.
Attorney Docket Number	72764 ISC159501

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
PN	AA	JP 11-086031	03-30-1999	Ochi Ryuji et al.	_____	✓
PN	AB	JP 11-146978	06-02-1999	Ouchi Satoshi	_____	✓
PN	AC	WO 97/36261	10-02-1997	Yasuhiro Takahashi et al.	_____	✓

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet

2

of

2

Application Number 09/766,132

Filing Date January 19, 2001

First Named Inventor Izumi Fukuda

Art Unit 2671

Examiner Name Nguyen, Phu K.

Attorney Docket Number 72764 [SC159501]

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PN	AD	JAPANESE PATENT OFFICE, "Notification of Reasons for Refusal", dated April 27, 2004, for corresponding Japanese patent application No. 2001-012277, 4 pages. <i>Not published.</i>	✓
PN	AE	JAPANESE PATENT OFFICE, "Notification of Reasons for Refusal", dated April 27, 2004, for corresponding Japanese patent application No. 2001-012278, 4 pages. <i>Not published</i>	✓

Examiner Signature	P. Nguyen	Date Considered	12/28/04
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